

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Mark L. Yoseloff, et al.

Examiner:

S. Ashburn

Serial No.

09/405,921

Group Art Unit:

3713

Filed:

September 24, 1999

Docket No.

307.026US1

PA0368.ap.US

Title:

VIDEO GAMING APPARATUS FOR WAGERING WITH UNIVERSAL

COMPUTERIZED CONTROLLER AND I/O INTERFACE FOR UNIQUE

ARCHITECTURE

Assistant Commissioner for Patents Washington, D.C. 20231

The following documents are hereby submitted:

Petition For The Correction of Inventorship in a U.S. Patent Application Under 37

C.F.R. 1.48(a) (2 pages)

Statement by the Assignee under 37 C.F.R. 1.48(a) (8 pages)

Statement by the Inventor(s) under 37 C.F.R. 1.48(a) (2 pages)

Statement by the Other Inventors under 37 C.F.R. 1.48(a) (2 pages)

Return postcard

MARK A. LITMAN & ASSOCIATES, P.A.

York Business Center, Suite 205, 3209 W. 76th St.

Edina, MN 55402 (952-832-9090)

Atty: Mark A. Litman

Reg. No. 26,390

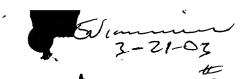
CERTIFICATE UNDER 37 C.F.R. 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described herein, are being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on April 11, 2002.

Mark A. Litman

Name

Signature





<u>THE UNITED STATES PATENT AND TRADEMARK OFFICE</u> RECEIVED TOWN ROOM

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Mark A.

Name

Assistant Commissioner for Patents Washington, D.C. 20231

PETITION FOR THE CORRECTION OF INVENTORSHIP IN A U.S. PATENT **APPLICATION UNDER 37 C.F.R. 1.48(a)**

Dear Sir:

The Applicants of the above-identified U.S. Patent Application, in concert with authorization by the assignee Shuffle Master, Inc., hereby petition for a correction of inventorship. The inventorship, upon legal investigation by the attorney of record, Mark A. Litman, has been determined to require the addition of two additional individuals, Timothy S. Wasigner and David Ronald Kingham, as joint inventors. 05/06/2002 EBEMBRY 00000001 501391

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09405921

PETITION FOR THE CORRECTION OF INVENTORSHIP IN A U.S. PATENT APPLICATION UNDER 37 C.F.R. 1.48(a) Serial Number: 09/405,921

Serial Number: 09/405,921 Filing Date: September 24, 1999

Title: VIDEO GAMING APPARATUS FOR WAGERING WITH UNIVERSAL COMPUTERIZED CONTROLLER AND I/O INTERFACE

FOR UNIQUE ARCHITECTURE

This petition includes:

a) the statements of all original inventors, Mark L. Yoseloff, Mark D. Jackson,

Page 2

Dkt: PA0368.ap.US

and Michael G. Martinek under 37 C.F.R. 1.48(a);

b) the statements of the others inventors, Timothy S. Wasinger and Ronald

David Kingham under 37 C.F.R. 1.48(a);

c) the statement of the assignee under 37 C.F.R. 1.48(a)(2); and

d) hereby presents authorization to charge the fee set forth in 35 U.S.C. 1.20(b)

to Deposit Account No. 50-1391.

The undersigned, as the attorney of record, believes that this error in the determination

of inventorship occurred without deceptive intent.

Respectfully submitted,

MARK A. LITMAN & ASSOCIATES, P.A.

York Business Center, Suite 205

3209 West 76th Street

Edina, Minnesota 55435

(952) 832-9090

Date: // April 2002

Mark A. Litman

Reg. No. 26,390



IN THE UNITED STATES PATENT AND TRADEMARK

Mark L. Yoseloff, et al.

Examiner:

09/405,921

Group Art Unit:

Filed:

September 24, 1999

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307.026US1

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Title:

VIDEO GAMING APPARATUS FOR WAGERING WITH UNIVERSAL

COMPUTERIZED CONTROLLER AND I/O INTERFACE FOR UNIQUE

ARCHITECTURE

STATEMENT BY THE INVENTOR UNDER 37 C.F.R. 1.48(a)

Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

I hereby declare that:

- 1) The above-identified application includes an inventorship error as it failed to include me as a joint inventor; and
- 2) The inventorship error occurred without any deceptive intent on my part.

Respectfully submitted,

Date: March 26, 7002

Timothy S. Wasinger 1308 West Prospect Road Fort Collins, CO 80526



HE UNITED STATES PATENT AND TRADEMARK OFFICE R 24 2002

TC 3700 MAIL ROOM

Applicant:

Mark L. Yoseloff, et al.

Examiner:

S. Ashburn

Serial No.

09/405,921

Group Art Unit:

3713

Filed:

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Title:

VIDEO GAMING APPARATUS FOR WAGERING WITH UNIVERSAL

COMPUTERIZED CONTROLLER AND I/O INTERFACE FOR UNIQUE

ARCHITECTURE

STATEMENT BY THE INVENTOR UNDER 37 C.F.R. 1.48(a)

Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

I hereby declare that:

- 3) The above-identified application includes an inventorship error as it failed to include me as a joint inventor; and
- 4) The inventorship error occurred without any deceptive intent on my part.

Respectfully submitted,

Date: 3/25/02

David Ronald Kingham

9 South Greeley Avenue

Johnstown, CO 80534

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Mark L. Yoseloff, et al.

Examiner:

S. Ashburn

APR 24 2002

Serial No.

09/405,921

Group Art Unit:

3713

TC 3700 MAIL ROOM

Filed:

September 24, 1999

Docket No.

307.026US1

PA0368.ap.US

Title:

VIDEO GAMING APPARATUS FOR WAGERING WITH UNIVERSAL

COMPUTERIZED CONTROLLER AND I/O INTERFACE FOR UNIQUE

ARCHITECTURE

STATEMENT BY THE OTHER INVENTORS UNDER 37 C.F.R. 1.48(a)

Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

We hereby declare that:

- The above-identified application includes an inventorship error as it failed to include Timothy S. Wasinger and David Ronald Kingham as joint inventors;
 and
- The inventorship error occurred without any deceptive intent on our part;
 and
- 3) The inventorship should be corrected.

Respectfully submitted,

Date: 3/25/02

oy.____

Mark L. Yoseloff

1900 Grey Eagle Street

Henderson, NV 89014

STATEMENT BY THE OTHER INVENTORS UNDER 37 C.F.R. 148(a)

Serial Number: 09/405,921

Filing Date: September 24, 1999
Title: VIDEO GAMING APPARATUS FOR WAGERING WITH UNIVERSAL COMPUTERIZED CONTROLLER AND I/O INTERFACE FOR UNIQUE ARCHITECTURE

Page 2

Dkt: PA0368.ap.US

Date:

Mark D. Jackson 907 Canosa Court

Fort Collins, CO 80526

Date:_

Michael G. Martinek

3077 Indigo Circle North Fort Collins, CO 80528



THE UNITED STATES PATENT AND TRADEMARK O

Mark L. Yoseloff, et al.

Examiner:

TC 3700 MAIL ROOM S. Ashburn

Serial No.

09/405,921

Group Art Unit:

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September 24, 1999

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Title:

VIDEO GAMING APPARATUS FOR WAGERING WITH UNIVERSAL

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ARCHITECTURE

STATEMENT BY THE ASSIGNEE UNDER 37 C.F.R. 1.48(a)

Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

- 1) SHUFFLE MASTER, Inc., having a principal place of business at 1106 Palms Airport Drive, Las Vegas, Nevada 89119, a corporation established under the laws of the State of Minnesota, is the assignee of the above-identified U.S. Patent Application.
- 2) The assignment of the above-identified U.S. Patent Application was recoded on September 24, 1999, on Reel 010275, Frame 0749 (copy enclosed).
- 3) The above-identified application includes an inventorship error as it failed to include two individuals, Timothy S. Wasinger and David Ronald Kingham, as joint inventors.
- 4) The inventorship should be corrected.

STATEMENT BY THE ASSIGNEE UNDER 37 C.F.R. 148(a)

Page 2

Serial Number: 09/405,921

Filing Date: September 24, 1999

Dkt: PA0368.ap.US

Title: VIDEO GAMING APPARATUS FOR WAGERING WITH UNIVERSAL

COMPUTERIZED CONTROLLER AND I/O INTERFACE FOR UNIQUE ARCHITECTURE

5) The undersigned, Mark L. Yoseloff is Chairman and Chief Executive Office of Shuffle Master, Inc., and has authority to execute this document on behalf of Shuffle Master, Inc.

Respectfully submitted,

Date: 3/25/02

By:

Mark L. Yoseloff

Chairman and Chief Executive Officer

Shuffle Master, Inc.

1106 Palms Airport Drive

Las Vegas, NV 89119

.307.026USI

UNITED S

Patent and Trademark Office

DECEMBER 20, 1999

PTAS

SCHWEGMAN, LUNDBERG, WOESSNER ET AL MARK A. LITMAN

P.O. BOX 2938

MENEAROUS, MN 55402

ASSISTANT SECRETARY AND COMMIS OF PATENTS AND TRADEMARKS Washington, D.C. 20231

JES DEPARTMENT OF COMMERCE

TC 3700 MAIL ROOM

UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 09/24/1999

REEL/FRAME: 010275/0749

NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

YOSELOFF, MARK L.

DOC DATE: 09/24/1999

ASSIGNOR:

JACKSON, MARK D.

DOC DATE: 09/23/1999

ASSIGNOR:

MARTINEK, MICHAEL G.

DOC DATE: 09/23/1999

ASSIGNEE:

SHUFFLE MASTER, INC. 10901 VALLEY VIEW ROAD

EDEN PRAIRIE, MINNESOTA 55344

SERIAL NUMBER: 09405921

PATENT NUMBER:

FILING DATE: 09/24/1999

ISSUE DATE:

Schwegman, Lundbely Mossaner & Kluin F.

DEC 28 1999

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010275/0749 PAGE 2

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Atty Ref/Docket No.: 307.026US1



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To the Honorable Commissioner of Patents and	Trademarks: Pl	lease record the attached	d original docum

1. Name of conveying party(ies):

2. Name and address of receiving party(ies):

Mark L. Yoseloff, Mark D. Jackson and Michael G. Martinek Name: Shuffle Master, Inc. Additional name(s) of conveying party(ies) attached? []Yes [X 10901 Valley View Road Street Address: 3. Nature of conveyance: [X] Assignment [] Merger City: Eden Prairie ZIP: 55344 [] Security Agreement [] Change of Name [] Other _ Additional name(s) & address(APR 2 4 2002 Execution Date: September 24, 1999 TECHNOLOGY CENTER R3700 4. Application number(s) or patent number(s): /405,921 If this document is being filed together with a new application, the execution date of the application is: __September 24, 1999_ B. Patent No.(s) A. Patent Application No.(s) Additional numbers attached? []Yes [X]No 6. Total number of applications and patents involved: 1 5. Name and address of party to whom correspondence concerning document should be mailed: 7. Total fee (37 CFR 3.41):\$ 40.00 Name: Mark A. Litman Address: Schwegman, Lundberg, Woessner & Kluth, P.A. [X] Enclosed [] Authorized to be charged to deposit account P.O. Box 2938 Minneapolis, MN 55402 8. Please charge any additional fees or credit any overpayments to our Deposit account number: ____19-0743_ DO NOT USE THIS SPACE 9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and coppect and any attached copy is a true copy of the original document. Mark A. Litman/Reg. No. 26,390 Name of Person Signing Date Total number of pages including cover sheet: 4 OMB No. 0651-0011 (exp. 4/94)

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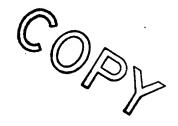
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Commissioner of Patents and Trademarks **Box Assignments**

Washington, D.C. 20231

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ASSIGNMENT

WHEREAS, WE, Mark L. Yoseloff, residing at 1900 Grey Eagle Street, Henderson, NV 89014, and Mark D. Jackson, residing at 907 Canosa Court. Fort Collins, CO 80526, and Michael G. Martinek, residing at 3007 Indigo Circle N., Fort Collins, CO 80528, made certain new and useful inventions and improvements for which We executed an application for Letters Patent of the United States on Even Date Herewith, which is entitled VIDEO GAMING APPARATUS FOR WAGERING WITH UNIVERSAL COMPUTERIZED CONTROLLER AND VO INTERFACE FOR UNIQUE ARCHITECTURE.

AND WHEREAS, Shuffle Master, Inc., a corporation organized and existing under and by virtue of the laws of the State of Minnesota, and having an office and place of business at 10901 Valley View Road, Eden Prairie, MN 55344, (hereinafter "Assignee") is desirous of acquiring the entire right, title and interest in and to said inventions, improvements and application and in and to the Letters Patent to be obtained therefor;

NOW, THEREFORE, to all whom it may concern, be it known that for good and valuable consideration, the receipt and sufficiency whereof is hereby acknowledged, we have sold, assigned, and transferred, and by these presents do sell, assign and transfer unto said Assignee, its successors or assigns, the entire right, title and interest for all countries in and to all inventions and improvements disclosed in the aforesaid application, and in and to the said application, all divisions, continuations, continuations-in-part, or renewals thereof, all Letters Patent which may be granted therefrom, and all reissues or extensions of such patents, and in and to any and all applications which have been or shall be filed in any foreign countries for Letters Patent on the said inventions and improvements, including an assignment of all rights under the provisions of the International Convention, and all Letters Patent of foreign countries which may be granted therefrom; and we do hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all United States Letters Patent for the aforesaid inventions and improvements to the said Assignee as the assignee of the entire right, title and interest in and to the same, for the use of the said Assignee, its successors and assigns.

AND, for the consideration aforesaid, we do hereby agree that we and our executors and legal representatives will make, execute and deliver any and all other instruments in writing including any and all further application papers, affidavits, assignments and other documents, and will communicate to said Assignee, its successors and representatives all facts known to us relating to said improvements and the history thereof and will testify in all legal proceedings and generally do all things which may be necessary or desirable more effectually to secure to and vest in said Assignee, its successors or assigns the entire right, title and interest in and to the said improvements, inventions, applications, Letters Patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

AND, furthermore we covenant and agree with said Assignee, its successors and assigns, that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by me/us and that full right to convey the same as herein expressed is possessed by us.

IN TESTIMONY WHEREOF, I have hereunto set my hand this 23 day of Aug + 1999.

STATE OF <u>Nevada</u>) COUNTY OF Clare) ss.

On this 23 day of Out . 1999 before me personally appeared Mark L. Yoseloff to me known and known to me to be the person described in and who executed the foregoing instrument, and he/she duly acknowledged to me that he/she executed the same for the uses and purposes therein set forth.

[SEAL]

CAROLYN J. WILLIS Notary Public, State of Nevada Appointment No. 9712601 Appt. Expires April 21, 2001

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Docket No.: 307.026US1 Serial No.: Not Assigned Filing Date: Even Date Herewith Page 3 of 3

IN TESTIMONY WHEREOF, I have hereunto set my hand this 23 day of Out, 1999.

Mark D. Jackson

STATE OF <u>Clar</u>)ss.

On this 23 day of Dept, 1999 before me personally appeared Mark D. Jackson to me known and known to me to be the person described in and who executed the foregoing instrument, and he/she duly acknowledged to me that he/she executed the same for the uses and purposes therein

[SEAL]

CAROLYN J. WILLIS Notory Rubic, State of Nevada Appointment No. 9712601 My Appt, Expires April 21, 2001

Notary Public

IN TESTIMONY WHEREOF, I have hereunto set my hand this 23 day of Lyot, 1999

Michael G. Martinek

STATE OF <u>Clark</u>) SS.

On this Bday of Dept, 1999 before me personally appeared Michael G. Martinek to me known and known to me to be the person described in and who executed the foregoing instrument, and he/she duly acknowledged to me that he/she executed the same for the uses and

CAROLY

(S)

CAROLYN J. WILLIS Notary Public. State of Nevada Appointment No. 9712601 My Appt. Expires April 21, 2001

Notary Public